

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	2	of	2
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**Complete if Known**

<b>Application Number</b>	09/829,169
<b>Filing Date</b>	April 9, 2001
<b>First Named Inventor</b>	VINCENT, Stephen C.
<b>Group Art Unit</b>	2832
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	P04860US01

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner  
Initials \*Cite  
No. 1

1

S. Seki, T. Unagami, and B. Tsujiyama, Electrical Characteristics of the RF Magnetron-Sputtered Tantalum Pentoxide-Silicon Interface, J. Electrochem. Soc.: SOLID-STATE SCIENCE AND TECHNOLOGY, Vol. 131, No. 11, Nov. 1984

2

H. Hoo, W.Xiong, P. McDonald, R. Raman, P. Gilman, Proceedings of Sony Research Forum, 1998

3

J. Licari, L. Enlow, Hybrid Microcircuit Technology Handbook, P62, 1988.

Examiner  
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3-26-02

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\*EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet	1	of	2
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